

## **Alte Leipziger**

### **All Votes**

**01/07/2020 to 30/09/2020**

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

### Daimler AG

**Meeting Date:** 07/08/2020

**Country:** Germany

**Meeting Type:** Annual

**Ticker:** DAI

**Primary ISIN:** DE0007100000

**Primary SEDOL:** 5529027

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 0.90 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal 2019	Mgmt	For	For	Against
<i>Voter Rationale: We voted against on a precautionary basis in light of the ongoing investigations concerning potentially illegal diesel emissions as well as possible antitrust violations.</i>					
4	Approve Discharge of Supervisory Board for Fiscal 2019	Mgmt	For	Against	Against
<i>Voter Rationale: We voted against on a precautionary basis in light of the ongoing investigations concerning potentially illegal diesel emissions as well as possible antitrust violations. In addition, the company should reduce director terms and, ideally, introduce annual re-elections, in order to facilitate a more dynamic board refreshment process.</i>					
5.1	Ratify KPMG AG as Auditors for Fiscal 2020	Mgmt	For	Against	Against
<i>Voter Rationale: Companies that have had the same auditor for a long period of time should consider a plan or tender process for bringing in a new auditing firm, ideally every 10 years.</i>					
5.2	Ratify KPMG AG as Auditors for the 2021 Interim Financial Statements Until the 2021 AGM	Mgmt	For	Against	Against
<i>Voter Rationale: Companies that have had the same auditor for a long period of time should consider a plan or tender process for bringing in a new auditing firm, ideally every 10 years.</i>					
6	Approve Remuneration Policy	Mgmt	For	Against	Against
<i>Voter Rationale: Remuneration committee should not allow vesting of incentive awards for below median performance.</i>					
7	Elect Timotheus Hoettges to the Supervisory Board	Mgmt	For	Against	Against
<i>Voter Rationale: Executive directors are expected to hold no more than one external directorships to ensure they have sufficient time and energy to discharge their roles properly, particularly during unexpected company situations requiring substantial amounts of time.</i>					
8	Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares	Mgmt	For	For	For
9	Authorize Use of Financial Derivatives when Repurchasing Shares	Mgmt	For	Against	Against
<i>Voter Rationale: Any request to use financial derivatives when repurchasing shares should be fully explained and justified by the company.</i>					

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

### Daimler AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10	Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Preemptive Rights up to Aggregate Nominal Amount of EUR 10 Billion; Approve Creation of EUR 500 Million Pool of Capital to Guarantee Conversion Rights	Mgmt	For	For	For
11.1	Amend Articles Re: AGM Video and Audio Transmission	Mgmt	For	For	For
11.2	Amend Articles Re: Electronic Participation of Shareholders	Mgmt	For	For	For
12.1	Amend Articles Re: Annulment of the Majority Requirement Clause for Passing Resolutions at General Meetings	Mgmt	For	For	For
12.2	Amend Articles Re: Majority Requirement for Passing Resolutions at General Meetings	Mgmt	For	Against	Against
<i>Voter Rationale: Changes in company's by-laws should not result in the erosion of shareholder rights.</i>					
13	Approve Affiliation Agreement with Mercedes-Benz Bank AG	Mgmt	For	For	For

### Siemens AG

<b>Meeting Date:</b> 07/09/2020	<b>Country:</b> Germany	<b>Ticker:</b> SIE
	<b>Meeting Type:</b> Special	
	<b>Primary ISIN:</b> DE0007236101	<b>Primary SEDOL:</b> 5727973

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Spin-Off and Takeover Agreement with Siemens Energy AG	Mgmt	For	For	For

### Continental AG

<b>Meeting Date:</b> 07/14/2020	<b>Country:</b> Germany	<b>Ticker:</b> CON
	<b>Meeting Type:</b> Annual	
	<b>Primary ISIN:</b> DE0005439004	<b>Primary SEDOL:</b> 4598589

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting)	Mgmt			

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

## Continental AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Allocation of Income and Dividends of EUR 3.00 per Share	Mgmt	For	For	For
3.1	Approve Discharge of Management Board Member Elmar Degenhart for Fiscal 2019	Mgmt	For	For	For
3.2	Approve Discharge of Management Board Member Hans-Juergen Duensing for Fiscal 2019	Mgmt	For	For	For
3.3	Approve Discharge of Management Board Member Frank Jourdan for Fiscal 2019	Mgmt	For	For	For
3.4	Approve Discharge of Management Board Member Christian Koetz for Fiscal 2019	Mgmt	For	For	For
3.5	Approve Discharge of Management Board Member Helmut Matschi for Fiscal 2019	Mgmt	For	For	For
3.6	Approve Discharge of Management Board Member Ariane Reinhart for Fiscal 2019	Mgmt	For	For	For
3.7	Approve Discharge of Management Board Member Wolfgang Schaefer for Fiscal 2019	Mgmt	For	For	For
3.8	Approve Discharge of Management Board Member Nikolai Setzer for Fiscal 2019	Mgmt	For	For	For
4.1	Approve Discharge of Supervisory Board Member Wolfgang Reitzle for Fiscal 2019	Mgmt	For	Against	Against
<i>Voter Rationale: The company should reduce director terms and implement either staggered election cycles or, ideally, annual re-elections, in order to facilitate a more dynamic board refreshment process.</i>					
4.2	Approve Discharge of Supervisory Board Member Hasan Allak for Fiscal 2019	Mgmt	For	For	For
4.3	Approve Discharge of Supervisory Board Member Christiane Benner for Fiscal 2019	Mgmt	For	For	For
4.4	Approve Discharge of Supervisory Board Member Gunter Dunkel for Fiscal 2019	Mgmt	For	For	For
4.5	Approve Discharge of Supervisory Board Member Francesco Grioli for Fiscal 2019	Mgmt	For	For	For
4.6	Approve Discharge of Supervisory Board Member Peter Gutzmer for Fiscal 2019	Mgmt	For	For	For
4.7	Approve Discharge of Supervisory Board Member Michael Iglhaut for Fiscal 2019	Mgmt	For	For	For
4.8	Approve Discharge of Supervisory Board Member Satish Khatu for Fiscal 2019	Mgmt	For	For	For
4.9	Approve Discharge of Supervisory Board Member Isabel Knauf for Fiscal 2019	Mgmt	For	For	For
4.10	Approve Discharge of Supervisory Board Member Klaus Mangold for Fiscal 2019	Mgmt	For	For	For
4.11	Approve Discharge of Supervisory Board Member Sabine Neuss for Fiscal 2019	Mgmt	For	For	For
4.12	Approve Discharge of Supervisory Board Member Rolf Nonnenmacher for Fiscal 2019	Mgmt	For	For	For

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

## Continental AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.13	Approve Discharge of Supervisory Board Member Dirk Nordmann for Fiscal 2019	Mgmt	For	For	For
4.14	Approve Discharge of Supervisory Board Member Lorenz Pfau for Fiscal 2019	Mgmt	For	For	For
4.15	Approve Discharge of Supervisory Board Member Klaus Rosenfeld for Fiscal 2019	Mgmt	For	For	For
4.16	Approve Discharge of Supervisory Board Member Georg Schaeffler for Fiscal 2019	Mgmt	For	For	For
4.17	Approve Discharge of Supervisory Board Member Maria-Elisabeth Schaeffler-Thumann for Fiscal 2019	Mgmt	For	For	For
4.18	Approve Discharge of Supervisory Board Member Joerg Schoenfelder for Fiscal 2019	Mgmt	For	For	For
4.19	Approve Discharge of Supervisory Board Member Stefan Scholz for Fiscal 2019	Mgmt	For	For	For
4.20	Approve Discharge of Supervisory Board Member Gudrun Valten for Fiscal 2019	Mgmt	For	For	For
4.21	Approve Discharge of Supervisory Board Member Kirsten Voerkel for Fiscal 2019	Mgmt	For	For	For
4.22	Approve Discharge of Supervisory Board Member Elke Volkmann for Fiscal 2019	Mgmt	For	For	For
4.23	Approve Discharge of Supervisory Board Member Erwin Woerle for Fiscal 2019	Mgmt	For	For	For
4.24	Approve Discharge of Supervisory Board Member Siegfried Wolf for Fiscal 2019	Mgmt	For	For	For
5	Ratify KPMG AG as Auditors for Fiscal 2020	Mgmt	For	For	For
6	Approve Remuneration Policy	Mgmt	For	Against	Against
<i>Voter Rationale: Remuneration committee should not allow vesting of incentive awards for below median performance. Also, companies should consider extending vesting periods for long-term incentive plans to 5 years or longer or as a minimum introduce an additional holding or deferral period.</i>					
7	Approve Remuneration of Supervisory Board	Mgmt	For	For	For

## Industria de Diseno Textil SA

**Meeting Date:** 07/14/2020

**Country:** Spain

**Meeting Type:** Annual

**Ticker:** ITX

**Primary ISIN:** ES0148396007

**Primary SEDOL:** BP9DL90

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

## Industria de Diseno Textil SA

### *Did Not Vote Due to Ballot Shareblocking*

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Standalone Financial Statements	Mgmt	For	For	Do Not Vote
2	Approve Consolidated Financial Statements and Discharge of Board	Mgmt	For	For	Do Not Vote
3	Approve Non-Financial Information Statement	Mgmt	For	For	Do Not Vote
4	Approve Allocation of Income	Mgmt	For	For	Do Not Vote
5	Approve Dividends Charged Against Unrestricted Reserves	Mgmt	For	For	Do Not Vote
6.a	Reelect Pontegadea Inversiones SL as Director	Mgmt	For	For	Do Not Vote
6.b	Reelect Denise Patricia Kingsmill as Director	Mgmt	For	For	Do Not Vote
6.c	Ratify Appointment of and Elect Anne Lange as Director	Mgmt	For	For	Do Not Vote
7	Renew Appointment of Deloitte as Auditor	Mgmt	For	For	Do Not Vote
8.a	Amend Articles Re: Remote Attendance to General Meetings	Mgmt	For	For	Do Not Vote
8.b	Approve Restated Articles of Association	Mgmt	For	For	Do Not Vote
9.a	Amend Article 6 of General Meeting Regulations Re: Competences of General Meetings	Mgmt	For	For	Do Not Vote
9.b	Amend Articles of General Meeting Regulations Re: Remote Attendance	Mgmt	For	For	Do Not Vote
9.c	Approve Restated General Meeting Regulations	Mgmt	For	For	Do Not Vote
10	Advisory Vote on Remuneration Report	Mgmt	For	For	Do Not Vote
11	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For	Do Not Vote
12	Receive Amendments to Board of Directors Regulations	Mgmt			

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Standalone Financial Statements	Mgmt	For	For	For

# Alte Leipziger All Votes Report

## Vote Summary Report

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### Industria de Diseno Textil SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Consolidated Financial Statements and Discharge of Board	Mgmt	For	For	For
3	Approve Non-Financial Information Statement	Mgmt	For	For	For
4	Approve Allocation of Income	Mgmt	For	For	For
5	Approve Dividends Charged Against Unrestricted Reserves	Mgmt	For	For	For
6.a	Reelect Pontegadea Inversiones SL as Director	Mgmt	For	For	For
6.b	Reelect Denise Patricia Kingsmill as Director	Mgmt	For	For	For
6.c	Ratify Appointment of and Elect Anne Lange as Director	Mgmt	For	For	For
7	Renew Appointment of Deloitte as Auditor	Mgmt	For	For	For
8.a	Amend Articles Re: Remote Attendance to General Meetings	Mgmt	For	For	For
8.b	Approve Restated Articles of Association	Mgmt	For	For	For
9.a	Amend Article 6 of General Meeting Regulations Re: Competences of General Meetings	Mgmt	For	For	For
9.b	Amend Articles of General Meeting Regulations Re: Remote Attendance	Mgmt	For	For	For
9.c	Approve Restated General Meeting Regulations	Mgmt	For	For	For
10	Advisory Vote on Remuneration Report	Mgmt	For	For	For
11	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For	For
12	Receive Amendments to Board of Directors Regulations	Mgmt			

### Nomura Fds. Ireland Plc - Global Dynamic Bond Fund

**Meeting Date:** 07/14/2020

**Country:** Ireland

**Meeting Type:** Annual

**Ticker:** N/A

**Primary ISIN:** IE00BTL1FJ89

**Primary SEDOL:** BTL1FJ8

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Ratify Ernst & Young as Auditors	Mgmt	For	For	For
2	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For

# Alte Leipziger All Votes Report

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Date range covered: 07/01/2020 to 09/30/2020

### Nomura Funds Ireland plc - Japan High Conviction Fund

**Meeting Date:** 07/14/2020

**Country:** Ireland

**Meeting Type:** Annual

**Ticker:** WFZY5B.F

**Primary ISIN:** IE00BG869F90

**Primary SEDOL:** BG869F9

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Ratify Ernst & Young as Auditors	Mgmt	For	For	For
2	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For

### INVESCO Funds - Invesco Pan European Focus Equity Fund

**Meeting Date:** 07/15/2020

**Country:** Luxembourg

**Meeting Type:** Annual

**Ticker:** IUGG

**Primary ISIN:** LU0717747678

**Primary SEDOL:** B740349

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Board's Report	Mgmt			
2	Receive Auditors' Report	Mgmt			
3	Approve Financial Statements	Mgmt	For	For	For
4	Approve Allocation of Income	Mgmt	For	For	For
5	Approve Remuneration of Directors	Mgmt	For	Against	Against
<i>Voter Rationale: Companies should provide sufficient information on directors' fees to enable shareholders to cast an informed vote.</i>					
6	Approve Discharge of Directors and Auditors	Mgmt	For	For	For
7	Re-elect Peter Carroll as Director	Mgmt	For	For	For
8	Re-elect Timothy Caverly as Director	Mgmt	For	For	For
9	Re-elect Bernhard Langer as Director	Mgmt	For	For	For
10	Re-elect Rene Marston as Director	Mgmt	For	For	For
11	Re-elect Anne-Marie King as Director	Mgmt	For	For	For
12	Elect Fergal Dempsey as Director	Mgmt	For	For	For
13	Renew Appointment of PricewaterhouseCoopers as Auditor	Mgmt	For	For	For



# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

### INVESCO Funds - Invesco Pan European Focus Equity Fund

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
14	Transact Other Business (Voting)	Mgmt	For	Against	Against

*Voter Rationale: Any Other Business should not be a voting item.*

### KION GROUP AG

<b>Meeting Date:</b> 07/16/2020	<b>Country:</b> Germany	<b>Meeting Type:</b> Annual	<b>Ticker:</b> KGX
<b>Primary ISIN:</b> DE000KGX8881	<b>Primary SEDOL:</b> BB22L96		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 0.04 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal 2019	Mgmt	For	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2019	Mgmt	For	Against	Against

*Voter Rationale: The company should reduce director terms and implement either staggered election cycles or, ideally, annual re-elections, in order to facilitate a more dynamic board refreshment process.*

5	Ratify Deloitte GmbH as Auditors for Fiscal 2020	Mgmt	For	For	For
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*Voter Rationale: Companies who have had the same auditor for a period of over 10 years should consider a plan or tender process for bringing in a new auditing firm.*

6.1	Elect Jiang Kui to the Supervisory Board	Mgmt	For	Against	Against
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*Voter Rationale: For controlled companies, the supervisory board should include at least 33% independent non-executive directors, to ensure appropriate balance of independence and objectivity. We do not consider employee-elected directors under the co-determination system to be fully independent. Moreover, this director is not an independent director, yet sits on a remuneration committee that is majority non-independent. The remuneration committee requires independence, and non-independent directors could be conflicted, thereby hampering the committee's impartiality and effectiveness. Also, the nomination committee should be majority independent and this director's membership could hamper the committee's impartiality and effectiveness.*

6.2	Elect Christina Reuter to the Supervisory Board	Mgmt	For	For	For
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6.3	Elect Hans Ring to the Supervisory Board	Mgmt	For	For	For
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6.4	Elect Xu Ping to the Supervisory Board	Mgmt	For	Against	Against
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*Voter Rationale: For controlled companies, the supervisory board should include at least 33% independent non-executive directors, to ensure appropriate balance of independence and objectivity. We do not consider employee-elected directors under the co-determination system to be fully independent.*

7	Approve Creation of EUR 11.8 Million Pool of Capital with Preemptive Rights	Mgmt	For	For	For
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# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

## KION GROUP AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds with Preemptive Rights up to Aggregate Nominal Amount of EUR 1 Billion; Approve Creation of EUR 11.8 Million Pool of Capital to Guarantee Conversion Rights	Mgmt	For	For	For
9	Amend Corporate Purpose	Mgmt	For	For	For
10	Amend Articles Re: Supervisory Board Remuneration	Mgmt	For	For	For
11	Approve Affiliation Agreement with Dematic Holdings GmbH	Mgmt	For	For	For

## Covestro AG

**Meeting Date:** 07/30/2020

**Country:** Germany

**Meeting Type:** Annual

**Ticker:** 1COV

**Primary ISIN:** DE0006062144

**Primary SEDOL:** BYTBWY9

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 1.20 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal 2019	Mgmt	For	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2019	Mgmt	For	Against	Against
<i> Voter Rationale: The company should reduce director terms and implement either staggered election cycles or, ideally, annual re-elections, in order to facilitate a more dynamic board refreshment process.</i>					
5	Ratify KPMG AG as Auditors for Fiscal 2020	Mgmt	For	For	For
6.1	Elect Christine Bortenlaenger to the Supervisory Board	Mgmt	For	For	For
6.2	Elect Rolf Nonnenmacher to the Supervisory Board	Mgmt	For	For	For
6.3	Elect Richard Pott to the Supervisory Board	Mgmt	For	For	For
6.4	Elect Regine Stachelhaus to the Supervisory Board	Mgmt	For	For	For
6.5	Elect Patrick Thomas to the Supervisory Board	Mgmt	For	For	For
6.6	Elect Ferdinando Falco Beccalli to the Supervisory Board	Mgmt	For	For	For

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

### Covestro AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Approve Creation of EUR 73.2 Million Pool of Capital with Partial Exclusion of Preemptive Rights	Mgmt	For	For	For
8	Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds with Partial Exclusion of Preemptive Rights up to Aggregate Nominal Amount of EUR 2 Billion; Approve Creation of EUR 18.3 Million Pool of Capital to Guarantee Conversion Rights	Mgmt	For	For	For
9	Amend Articles Re: Fiscal Year, Announcements, Transmission of Information, Place of Jurisdiction; AGM Convocation; Proof of Entitlement	Mgmt	For	For	For
10	Amend Articles Re: Passing Supervisory Board Resolutions by Electronic Means of Communication	Mgmt	For	For	For

### MTU Aero Engines AG

**Meeting Date:** 08/05/2020

**Country:** Germany

**Meeting Type:** Annual

**Ticker:** MTX

**Primary ISIN:** DE000A0D9PT0

**Primary SEDOL:** B09DHL9

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 0.04 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal 2019	Mgmt	For	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2019	Mgmt	For	Against	Against
<i>Voter Rationale: The company should reduce director terms and implement either staggered election cycles or, ideally, annual re-elections, in order to facilitate a more dynamic board refreshment process.</i>					
5	Ratify Ernst & Young GmbH as Auditors for Fiscal 2020	Mgmt	For	For	For
6	Amend Articles Re: Online Participation	Mgmt	For	For	For

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

### Carl Zeiss Meditec AG

**Meeting Date:** 08/06/2020

**Country:** Germany

**Meeting Type:** Annual

**Ticker:** AFX

**Primary ISIN:** DE0005313704

**Primary SEDOL:** 5922961

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2018/19 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 0.65 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal 2018/19	Mgmt	For	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2018/19	Mgmt	For	Against	Against
<i>Voter Rationale: The company should reduce director terms and, ideally, introduce annual re-elections, in order to facilitate a more dynamic board refreshment process.</i>					
5	Ratify Ernst & Young GmbH as Auditors for Fiscal 2019/20	Mgmt	For	For	For
6	Elect Tania von der Goltz to the Supervisory Board	Mgmt	For	For	For
7.1	Elect Karl Lamprecht to the Supervisory Board	Mgmt	For	Against	Against
<i>Voter Rationale: For controlled companies, the supervisory board should include at least 33% independent non-executive directors, to ensure appropriate balance of independence and objectivity. We do not consider employee-elected directors under the co-determination system to be fully independent.</i>					
7.2	Elect Isabel De Paoli to the Supervisory Board	Mgmt	For	Against	Against
<i>Voter Rationale: For controlled companies, the supervisory board should include at least 33% independent non-executive directors, to ensure appropriate balance of independence and objectivity. We do not consider employee-elected directors under the co-determination system to be fully independent.</i>					
8	Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares	Mgmt	For	For	For
9	Authorize Use of Financial Derivatives when Repurchasing Shares	Mgmt	For	Against	Against
<i>Voter Rationale: Any request to use financial derivatives when repurchasing shares should be fully explained and justified by the company.</i>					
10	Amend Articles Re: Participation Right	Mgmt	For	For	For

### adidas AG

**Meeting Date:** 08/11/2020

**Country:** Germany

**Meeting Type:** Annual

**Ticker:** ADS

**Primary ISIN:** DE000A1EWWW0

**Primary SEDOL:** 4031976

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

### adidas AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Omission of Dividends	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal 2019	Mgmt	For	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2019	Mgmt	For	Against	Against
<i>Voter Rationale: The company should reduce director terms and implement either staggered election cycles or, ideally, annual re-elections, in order to facilitate a more dynamic board refreshment process.</i>					
5	Amend Articles Re: Electronic Participation	Mgmt	For	For	For
6	Elect Christian Klein to the Supervisory Board	Mgmt	For	For	For
7	Ratify KPMG AG as Auditors for Fiscal 2020	Mgmt	For	Against	Against
<i>Voter Rationale: Companies that have had the same auditor for a long period of time should consider a plan or tender process for bringing in a new auditing firm, ideally every 10 years.</i>					

### HSBC ETFs PLC - HSBC MSCI World UCITS ETF

<b>Meeting Date:</b> 08/14/2020	<b>Country:</b> Ireland	<b>Ticker:</b> HMWO
<b>Meeting Type:</b> Annual	<b>Primary ISIN:</b> IE00B4X9L533	<b>Primary SEDOL:</b> B5BD198

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Ratify KPMG as Auditors	Mgmt	For	For	For
3	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For

### Prosus NV

<b>Meeting Date:</b> 08/18/2020	<b>Country:</b> Netherlands	<b>Ticker:</b> PRX
<b>Meeting Type:</b> Annual	<b>Primary ISIN:</b> NL0013654783	<b>Primary SEDOL:</b> BJDS7L3

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

### Prosus NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Receive Report of Management Board (Non-Voting)	Mgmt			
2	Approve Remuneration Report	Mgmt	For	Against	Against
<p><i>Voter Rationale: Incentive awards to executives should be clearly disclosed and include robust and stretching performance targets to reward strong performance and drive shareholder value over a sufficiently long period of time. Moreover, long-term incentive awards should be used to incentivise long-term performance and should not be allowed to vest within 3 years since the date of grant. Also, companies should consider extending vesting periods for long-term incentive plans to 5 years or longer or as a minimum introduce an additional holding or deferral period.</i></p>					
3	Adopt Financial Statements	Mgmt	For	For	For
4.a	Approve Dividends of EUR 0.602 Per Share	Mgmt	For	For	For
4.b	Approve Capital Increase and Capital Reduction	Mgmt	For	For	For
5	Approve Remuneration Policy for Executive Directors	Mgmt	For	Against	Against
<p><i>Voter Rationale: Incentive awards to executives should be clearly disclosed and include robust and stretching performance targets to reward strong performance and drive shareholder value over a sufficiently long period of time. Moreover, long-term incentive awards should be used to incentivise long-term performance and should not be allowed to vest within 3 years since the date of grant. Also, companies should consider extending vesting periods for long-term incentive plans to 5 years or longer or as a minimum introduce an additional holding or deferral period.</i></p>					
6	Approve Remuneration Policy for Non-Executive Directors	Mgmt	For	For	For
7	Approve Discharge of Executive Directors	Mgmt	For	For	For
8	Approve Discharge of Non-Executive Directors	Mgmt	For	For	For
9	Elect Y Xu as Non-Executive Director	Mgmt	For	For	For
10.1	Reelect D G Eriksson as Non-Executive Director	Mgmt	For	For	For
10.2	Reelect M R Sorour as Non-Executive Director	Mgmt	For	For	For
10.3	Reelect E M Choi as Non-Executive Director	Mgmt	For	For	For
10.4	Reelect M Girotra as Non-Executive Director	Mgmt	For	For	For
10.5	Reelect R C C Jafta as Non-Executive Director	Mgmt	For	Against	For
<p><i>Voter Rationale: The Company should put in place a policy to increase gender diversity on the board. Our expectation is that female directors should comprise at least 30% of the board.</i></p>					
11	Ratify PricewaterhouseCoopers Accountants N.V. as Auditors	Mgmt	For	For	For
12	Grant Board Authority to Issue Shares Up To 10 Percent of Issued Capital and Restrict/Exclude Preemptive Rights	Mgmt	For	For	For
13	Authorize Repurchase of Shares	Mgmt	For	For	For

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

### Prosus NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
14	Amend Prosus Share Award Plan	Mgmt	For	Against	Against
<i>Voter Rationale: Incentive awards to executives should be clearly disclosed and include robust and stretching performance targets to reward strong performance and drive shareholder value over a sufficiently long period of time. Moreover, long-term incentive awards should be used to incentivise long-term performance and should not be allowed to vest within 3 years since the date of grant. Also, companies should consider extending vesting periods for long-term incentive plans to 5 years or longer or as a minimum introduce an additional holding or deferral period. Further, the company should put in place a procedure which would enable it, should it identify any facts of manipulation of reported indicators or other bad faith actions on the part of any of its executive directors and other key managers which were detrimental to the long-term interests of its shareholders, to ensure that any funds wrongfully obtained in such manner are repaid to it.</i>					
15	Other Business (Non-Voting)	Mgmt			
16	Voting Results	Mgmt			

### LEG Immobilien AG

**Meeting Date:** 08/19/2020

**Country:** Germany

**Meeting Type:** Annual

**Ticker:** LEG

**Primary ISIN:** DE000LEG1110

**Primary SEDOL:** B9G6L89

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 3.60 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal 2019	Mgmt	For	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2019	Mgmt	For	Against	Against
<i>Voter Rationale: The company should reduce director terms and implement either staggered election cycles or, ideally, annual re-elections, in order to facilitate a more dynamic board refreshment process.</i>					
5	Ratify PricewaterhouseCoopers GmbH as Auditors for Fiscal 2020	Mgmt	For	For	For
<i>Voter Rationale: Companies who have had the same auditor for a period of over 10 years should consider a plan or tender process for bringing in a new auditing firm.</i>					
6	Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds with Partial Exclusion of Preemptive Rights up to Aggregate Nominal Amount of EUR 2 Billion; Approve Creation of EUR 35.7 Million Pool of Capital to Guarantee Conversion Rights	Mgmt	For	For	For
7	Approve Creation of EUR 21.4 Million Pool of Capital with Partial Exclusion of Preemptive Rights	Mgmt	For	For	For

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

## LEG Immobilien AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Approve Remuneration Policy	Mgmt	For	For	For
<i>Voter Rationale: Companies should consider extending vesting periods for long-term incentive plans to 5 years or longer or as a minimum introduce an additional holding or deferral period.</i>					
9	Approve Increase in Size of Board to Seven Members	Mgmt	For	For	For
10	Elect Martin Wiesmann to the Supervisory Board	Mgmt	For	For	For
11	Approve Affiliation Agreement with EnergieServicePlus GmbH	Mgmt	For	For	For
12	Approve Merger by Absorption of LEG Immobilien N.V. and Change of Corporate Form to Societas Europaea (SE)	Mgmt	For	For	For

## Deutsche Post AG

**Meeting Date:** 08/27/2020

**Country:** Germany

**Meeting Type:** Annual

**Ticker:** DPW

**Primary ISIN:** DE0005552004

**Primary SEDOL:** 4617859

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 1.15 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal 2019	Mgmt	For	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2019	Mgmt	For	Against	Against
<i>Voter Rationale: The company should reduce director terms and implement either staggered election cycles or, ideally, annual re-elections, in order to facilitate a more dynamic board refreshment process.</i>					
5	Ratify PricewaterhouseCoopers GmbH as Auditors for Fiscal 2020	Mgmt	For	Against	Against
<i>Voter Rationale: Companies that have had the same auditor for a long period of time should consider a plan or tender process for bringing in a new auditing firm, ideally every 10 years.</i>					
6.1	Elect Joerg Kukies to the Supervisory Board	Mgmt	For	Against	Against
<i>Voter Rationale: For widely held companies, the supervisory board should include at least 50% independent non-executive directors, to ensure appropriate balance of independence and objectivity. We do not consider employee-elected directors under the co-determination system to be fully independent. .</i>					



# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

## Deutsche Post AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6.2	Elect Lawrence Rosen to the Supervisory Board	Mgmt	For	Against	Against
<i>Voter Rationale: For widely held companies, the supervisory board should include at least 50% independent non-executive directors, to ensure appropriate balance of independence and objectivity. We do not consider employee-elected directors under the co-determination system to be fully independent. .</i>					
7	Approve Stock Option Plan for Key Employees; Approve Creation of EUR 12 Million Pool of Conditional Capital to Guarantee Conversion Rights	Mgmt	For	For	For
8	Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Preemptive Rights up to Aggregate Nominal Amount of EUR 1.5 Billion; Approve Creation of EUR 40 Million Pool of Capital to Guarantee Conversion Rights	Mgmt	For	For	For
9.1	Amend Articles Re: Online Participation	Mgmt	For	For	For
9.2	Amend Articles Re: Interim Dividend	Mgmt	For	For	For

## Fresenius Medical Care AG & Co. KGaA

**Meeting Date:** 08/27/2020

**Country:** Germany

**Meeting Type:** Annual

**Ticker:** FME

**Primary ISIN:** DE0005785802

**Primary SEDOL:** 5129074

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports for Fiscal 2019	Mgmt	For	For	For
<i>Voter Rationale: Shareholders should have the right to elect directors annually in order to hold them to account. Also, the company should move towards a three-committee structure (audit, remuneration and nomination) in line with regional best practice, with independent board committees that report annually on their activities.</i>					
2	Approve Allocation of Income and Dividends of EUR 1.20 per Share	Mgmt	For	For	For
3	Approve Discharge of Personally Liable Partner for Fiscal 2019	Mgmt	For	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2019	Mgmt	For	Against	Against
<i>Voter Rationale: The company should reduce director terms and implement either staggered election cycles or, ideally, annual re-elections, in order to facilitate a more dynamic board refreshment process.</i>					
5	Ratify PricewaterhouseCoopers GmbH as Auditors for Fiscal 2020	Mgmt	For	For	For

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

## Fresenius Medical Care AG & Co. KGaA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Approve Remuneration Policy for the Management Board	Mgmt	For	For	For
<i> Voter Rationale: We will expect the company to set an disclose specific performance targets for incentive awards in future.</i>					
7	Approve Remuneration Policy for the Supervisory Board	Mgmt	For	For	For
8	Approve Creation of Two Pools of Capital with Partial Exclusion of Preemptive Rights	Mgmt	For	For	For
9	Amend Articles Re: Proof of Entitlement	Mgmt	For	For	For

## LANXESS AG

**Meeting Date:** 08/27/2020

**Country:** Germany

**Meeting Type:** Annual

**Ticker:** LXS

**Primary ISIN:** DE0005470405

**Primary SEDOL:** B05M8B7

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 0.95 per Share	Mgmt	For	For	For
3.1	Approve Discharge of Management Board Member Matthias Zachert for Fiscal 2019	Mgmt	For	For	For
3.2	Approve Discharge of Management Board Member Anno Borkowsky for Fiscal 2019	Mgmt	For	For	For
3.3	Approve Discharge of Management Board Member Hubert Fink for Fiscal 2019	Mgmt	For	For	For
3.4	Approve Discharge of Management Board Member Michael Pontzen for Fiscal 2019	Mgmt	For	For	For
3.5	Approve Discharge of Management Board Member Rainier van Roessel for Fiscal 2019	Mgmt	For	For	For
4.1	Approve Discharge of Supervisory Board Member Matthias Wolfgruber for Fiscal 2019	Mgmt	For	Against	Against
<i> Voter Rationale: The company should reduce director terms and implement either staggered election cycles or, ideally, annual re-elections, in order to facilitate a more dynamic board refreshment process.</i>					
4.2	Approve Discharge of Supervisory Board Member Birgit Bierther for Fiscal 2019	Mgmt	For	For	For
4.3	Approve Discharge of Supervisory Board Member Werner Czaplík for Fiscal 2019	Mgmt	For	For	For
4.4	Approve Discharge of Supervisory Board Member Hans-Dieter Gerriets for Fiscal 2019	Mgmt	For	For	For

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

### LANXESS AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.5	Approve Discharge of Supervisory Board Member Heike Hanagarth for Fiscal 2019	Mgmt	For	For	For
4.6	Approve Discharge of Supervisory Board Member Friedrich Janssen for Fiscal 2019	Mgmt	For	For	For
4.7	Approve Discharge of Supervisory Board Member Pamela Knapp for Fiscal 2019	Mgmt	For	For	For
4.8	Approve Discharge of Supervisory Board Member Thomas Meiers for Fiscal 2019	Mgmt	For	For	For
4.9	Approve Discharge of Supervisory Board Member Lawrence Rosen for Fiscal 2019	Mgmt	For	For	For
4.10	Approve Discharge of Supervisory Board Member Ralf Sikorski for Fiscal 2019	Mgmt	For	For	For
4.11	Approve Discharge of Supervisory Board Member Manuela Strauch for Fiscal 2019	Mgmt	For	For	For
4.12	Approve Discharge of Supervisory Board Member Theo Walthie for Fiscal 2019	Mgmt	For	For	For
5	Ratify PricewaterhouseCoopers GmbH as Auditors for Fiscal 2020	Mgmt	For	For	For
<i>Voter Rationale: Companies who have had the same auditor for a period of over 10 years should consider a plan or tender process for bringing in a new auditing firm.</i>					
6.1	Elect Matthias Wolfgruber to the Supervisory Board	Mgmt	For	For	For
6.2	Elect Lawrence Rosen to the Supervisory Board	Mgmt	For	For	For
6.3	Elect Hans Van Bylen to the Supervisory Board	Mgmt	For	For	For
6.4	Elect Theo Walthie to the Supervisory Board	Mgmt	For	For	For
7	Approve Remuneration of Supervisory Board	Mgmt	For	For	For
8	Amend Articles Re: Proof of Entitlement	Mgmt	For	For	For

### Fresenius SE & Co. KGaA

**Meeting Date:** 08/28/2020

**Country:** Germany

**Meeting Type:** Annual

**Ticker:** FRE

**Primary ISIN:** DE0005785604

**Primary SEDOL:** 4352097

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports for Fiscal 2019	Mgmt	For	For	For

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

## Fresenius SE & Co. KGaA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
<i>Voter Rationale: Shareholders should have the right to elect directors annually in order to hold them to account. Also, the company should move towards a three-committee structure (audit, remuneration and nomination) in line with regional best practice, with independent board committees that report annually on their activities.</i>					
2	Approve Allocation of Income and Dividends of EUR 0.84 per Share	Mgmt	For	For	For
3	Approve Discharge of Personally Liable Partner for Fiscal 2019	Mgmt	For	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2019	Mgmt	For	Against	Against
<i>Voter Rationale: The company should reduce director terms and implement either staggered election cycles or, ideally, annual re-elections, in order to facilitate a more dynamic board refreshment process.</i>					
5	Ratify PricewaterhouseCoopers GmbH as Auditors for Fiscal 2020	Mgmt	For	For	For
6	Amend Articles Re: Proof of Entitlement	Mgmt	For	For	For

## Evonik Industries AG

**Meeting Date:** 08/31/2020

**Country:** Germany

**Meeting Type:** Annual

**Ticker:** EVK

**Primary ISIN:** DE000EVNK013

**Primary SEDOL:** B5ZQ9D3

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 0.58 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal 2019	Mgmt	For	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2019	Mgmt	For	Against	Against
<i>Voter Rationale: The company should reduce director terms and implement either staggered election cycles or, ideally, annual re-elections, in order to facilitate a more dynamic board refreshment process.</i>					
5	Ratify PricewaterhouseCoopers GmbH as Auditors for Fiscal 2020; Ratify KPMG AG as Auditors for Interim Financial Statements 2021	Mgmt	For	For	For
6	Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares	Mgmt	For	For	For

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

## Evonik Industries AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Approve Remuneration Policy for the Management Board	Mgmt	For	For	For
<i>Voter Rationale: Companies should provide sufficient information on directors' fees to enable shareholders to cast an informed vote. Also, companies should consider extending vesting periods for long-term incentive plans to 5 years or longer or as a minimum introduce an additional holding or deferral period.</i>					
8	Approve Remuneration of Supervisory Board	Mgmt	For	For	For

## First State Global Umbrella Fund Plc - Stewart Investors Asia Pacific Leaders Fu

<b>Meeting Date:</b> 09/03/2020	<b>Country:</b> Ireland	<b>Ticker:</b> RVH8D6.F
	<b>Meeting Type:</b> Annual	
	<b>Primary ISIN:</b> IE00BKDRZ794	<b>Primary SEDOL:</b> BKDRZ79

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports and Review the Company's Affairs	Mgmt	For	For	For
2	Ratify Deloitte Ireland LLP as Auditors and Authorise Their Remuneration	Mgmt	For	Against	Against
<i>Voter Rationale: Companies should provide sufficient information at least 21 days in advance of the meeting to enable shareholders to cast an informed vote.</i>					

## Bouygues SA

<b>Meeting Date:</b> 09/04/2020	<b>Country:</b> France	<b>Ticker:</b> EN
	<b>Meeting Type:</b> Special	
	<b>Primary ISIN:</b> FR0000120503	<b>Primary SEDOL:</b> 4002121

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Ordinary Business	Mgmt			
1	Approve Dividends of EUR 1.70 per Share	Mgmt	For	For	For
2	Approve Remuneration Policy of Corporate Officers	Mgmt	For	Against	Against
<i>Voter Rationale: Any increase in the size of awards under the short-term/long-term incentive scheme(s) should be accompanied by a corresponding increase in performance expectations.</i>					
3	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	For	For

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

### PIMCO Funds Global Investors Series plc - Income Fund

**Meeting Date:** 09/16/2020

**Country:** Ireland

**Meeting Type:** Annual

**Ticker:** XMHXQ8.F

**Primary ISIN:** IE00BFXR7J04

**Primary SEDOL:** BFXR7J0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	For
2	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For

### Vanguard Investment Series Plc - Vanguard Global Enhanced Equity Fund

**Meeting Date:** 09/17/2020

**Country:** Ireland

**Meeting Type:** Annual

**Ticker:** PJW44Q.F

**Primary ISIN:** IE00B1P1JL82

**Primary SEDOL:** B1P1JL8

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports and Review the Company's Affairs	Mgmt	For	For	For
2	Ratify PricewaterhouseCoopers as Auditors and Authorise Their Remuneration	Mgmt	For	For	For

### iShares Public Limited Company - iShares MSCI World UCITS ETF

**Meeting Date:** 09/21/2020

**Country:** Ireland

**Meeting Type:** Annual

**Ticker:** IWRD

**Primary ISIN:** IE00B0M62Q58

**Primary SEDOL:** B0M62Q5

#### **Did Not Vote Due to Ballot Shareblocking**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	This is a Second Call Meeting Originally Held on 18 September 2020	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	
2	Ratify Deloitte as Auditors	Mgmt	For	For	

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

### iShares Public Limited Company - iShares MSCI World UCITS ETF

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	
4	Re-elect Ros O'Shea as Director	Mgmt	For	For	
5	Re-elect Jessica Irschick as Director	Mgmt	For	For	
6	Re-elect Barry O'Dwyer as Director	Mgmt	For	For	
7	Re-elect Paul McGowan as Director	Mgmt	For	For	
8	Re-elect Paul McNaughton as Director	Mgmt	For	Against	
9	Re-elect Deirdre Somers as Director	Mgmt	For	For	
10	Re-elect Teresa O'Flynn as Director	Mgmt	For	For	

### iShares VI Public Limited Company - iShares Edge MSCI Europe Mini Volatility UCI

**Meeting Date:** 09/21/2020

**Country:** Ireland

**Meeting Type:** Annual

**Ticker:** MVEU

**Primary ISIN:** IE00B86MWN23

**Primary SEDOL:** B86MWN2

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	This is a Second Call Meeting Originally Held on 18 September 2020	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	
2	Ratify Deloitte as Auditors	Mgmt	For	For	
3	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	
4	Re-elect Ros O'Shea as Director	Mgmt	For	For	
5	Re-elect Jessica Irschick as Director	Mgmt	For	For	
6	Re-elect Barry O'Dwyer as Director	Mgmt	For	For	
7	Re-elect Paul McGowan as Director	Mgmt	For	For	
8	Re-elect Deirdre Somers as Director	Mgmt	For	For	

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

### Unilever NV

**Meeting Date:** 09/21/2020

**Country:** Netherlands

**Meeting Type:** Special

**Ticker:** UNA

**Primary ISIN:** NL0000388619

**Primary SEDOL:** B1527V7

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Special Meeting Agenda	Mgmt			
1	Amend Articles Re: Unification	Mgmt	For	For	For
2	Approve Unification	Mgmt	For	For	For
3	Approve Discharge of Executive Directors	Mgmt	For	For	For
4	Approve Discharge of Non-Executive Directors	Mgmt	For	For	For

### Volkswagen AG

**Meeting Date:** 09/30/2020

**Country:** Germany

**Meeting Type:** Annual

**Ticker:** VOW3

**Primary ISIN:** DE0007664039

**Primary SEDOL:** 5497168

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 4.80 per Ordinary Share and EUR 4.86 per Preferred Share	Mgmt	For	For	For
3.1	Approve Discharge of Management Board Member H. Diess for Fiscal 2019	Mgmt	For	Against	Against
<i>Voter Rationale: We voted against the discharge of all management and supervisory board members because of ongoing concerns about the company's governance and internal controls: In fiscal 2019, Volkswagen AG paid a settlement of EUR 830 million to over 260,000 plaintiffs in relation to the diesel emissions scandal. The current work's council chair Bernd Osterloh is currently under criminal investigation for allegations of aiding and abetting in the embezzlement of several million euros. There are ongoing legal and civil actions and we have concerns over the company's continued poor response to the diesel crisis. There continue to be substantial monetary and reputational costs to the company that continue to be borne by shareholders as a result of the diesel emissions scandal.</i>					
3.2	Approve Discharge of Management Board Member O. Blume for Fiscal 2019	Mgmt	For	Against	Against
3.3	Approve Discharge of Management Board Member J. Heizmann (until Oct. 1, 2019) for Fiscal 2019	Mgmt	For	Against	Against
3.4	Approve Discharge of Management Board Member G. Kilian for Fiscal 2019	Mgmt	For	Against	Against



# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

### Volkswagen AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3.5	Approve Discharge of Management Board Member A. Renschler for Fiscal 2019	Mgmt	For	Against	Against
3.6	Approve Discharge of Management Board Member A. Schot for Fiscal 2019	Mgmt	For	Against	Against
3.7	Approve Discharge of Management Board Member S. Sommer for Fiscal 2019	Mgmt	For	Against	Against
3.8	Approve Discharge of Management Board Member H. D. Werner for Fiscal 2019	Mgmt	For	Against	Against
3.9	Approve Discharge of Management Board Member F. Witter for Fiscal 2019	Mgmt	For	Against	Against
4.1	Approve Discharge of Supervisory Board Member H.D. Poetsch for Fiscal 2019	Mgmt	For	Against	Against
<p><i>Voter Rationale: We voted against the discharge of all management and supervisory board members because of ongoing concerns about the company's governance and internal controls: In fiscal 2019, Volkswagen AG paid a settlement of EUR 830 million to over 260,000 plaintiffs in relation to the diesel emissions scandal. The current work's council chair Bernd Osterloh is currently under criminal investigation for allegations of aiding and abetting in the embezzlement of several million euros. There are ongoing legal and civil actions and we have concerns over the company's continued poor response to the diesel crisis. There continue to be substantial monetary and reputational costs to the company that continue to be borne by shareholders as a result of the diesel emissions scandal.</i></p>					
4.2	Approve Discharge of Supervisory Board Member J. Hofmann for Fiscal 2019	Mgmt	For	Against	Against
4.3	Approve Discharge of Supervisory Board Member H.A. Al Abdulla for Fiscal 2019	Mgmt	For	Against	Against
4.4	Approve Discharge of Supervisory Board Member H. S. Al Jaber for Fiscal 2019	Mgmt	For	Against	Against
4.5	Approve Discharge of Supervisory Board Member B. Althusmann for Fiscal 2019	Mgmt	For	Against	Against
4.6	Approve Discharge of Supervisory Board Member B. Dietze (until May 31, 2019) for Fiscal 2019	Mgmt	For	Against	Against
4.7	Approve Discharge of Supervisory Board Member H.-P. Fischer for Fiscal 2019	Mgmt	For	Against	Against
4.8	Approve Discharge of Supervisory Board Member M. Heiss for Fiscal 2019	Mgmt	For	Against	Against
4.9	Approve Discharge of Supervisory Board Member U. Hueck (until Feb. 8, 2019) for Fiscal 2019	Mgmt	For	Against	Against
4.10	Approve Discharge of Supervisory Board Member J. Jaervklo for Fiscal 2019	Mgmt	For	Against	Against
4.11	Approve Discharge of Supervisory Board Member U. Jakob for Fiscal 2019	Mgmt	For	Against	Against
4.12	Approve Discharge of Supervisory Board Member L. Kiesling for Fiscal 2019	Mgmt	For	Against	Against
4.13	Approve Discharge of Supervisory Board Member P. Mosch for Fiscal 2019	Mgmt	For	Against	Against
4.14	Approve Discharge of Supervisory Board Member B. Murkovic for Fiscal 2019	Mgmt	For	Against	Against

# Alte Leipziger All Votes Report

## Vote Summary Report

Date range covered: 07/01/2020 to 09/30/2020

## Volkswagen AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.15	Approve Discharge of Supervisory Board Member B. Osterloh for Fiscal 2019	Mgmt	For	Against	Against
4.16	Approve Discharge of Supervisory Board Member H.M. Piech for Fiscal 2019	Mgmt	For	Against	Against
4.17	Approve Discharge of Supervisory Board Member F.O. Porsche for Fiscal 2019	Mgmt	For	Against	Against
4.18	Approve Discharge of Supervisory Board Member W. Porsche for Fiscal 2019	Mgmt	For	Against	Against
4.19	Approve Discharge of Supervisory Board Member C. Schoenhardt (from June 21, 2019)for Fiscal 2019	Mgmt	For	Against	Against
4.20	Approve Discharge of Supervisory Board Member A. Stimoniari for Fiscal 2019	Mgmt	For	Against	Against
4.21	Approve Discharge of Supervisory Board Member S. Weil for Fiscal 2019	Mgmt	For	Against	Against
4.22	Approve Discharge of Supervisory Board Member W. Weresch (from Feb. 21, 2019) for Fiscal 2019	Mgmt	For	Against	Against
5	Elect Hussain Abdulla to the Supervisory Board	Mgmt	For	Against	Against
<i>Voter Rationale: For widely held companies, the supervisory board should include at least 50% independent non-executive directors, to ensure appropriate balance of independence and objectivity. We do not consider employee-elected directors under the co-determination system to be fully independent.</i>					
6	Amend Articles Re: Proof of Entitlement	Mgmt	For	For	For
7.1	Ratify Ernst & Young GmbH as Auditors for Fiscal 2020	Mgmt	For	For	For
7.2	Ratify Ernst & Young GmbH as Auditors for the Consolidated Interim Financial Statements and Interim Management Report until Sep. 30, 2020 and the First Quarter of Fiscal 2021	Mgmt	For	For	For



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